# A Grid-compatible Virtual Oscillator Controller: Analysis and Design

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Abstract—In this paper, we present a virtual oscillator control (VOC) strategy for power inverters to operate in either gridconnected or islanded settings. The proposed controller is based on the dynamics of the nonlinear Andronov-Hopf oscillator and it provides voltage regulation, frequency support in islanded mode. It also features the potential to respond to real- and reactivepower setpoints for dispatchability in grid-connected mode. In contrast to early VOC incarnations which exhibit undesirable harmonics, the proposed controller offers a sinusoidal ac limit cycle as well as improved dynamic performance. Moreover, the proposed controller intrinsically generates orthogonal signals which facilitate implementation in three-phase systems. We study the controller dynamical model and outline a systematic design procedure such that the inverter satisfies standard ac performance specifications. Numerical simulations validate the analytical developments.

#### I. INTRODUCTION

Techniques to synchronize inverters in ac electric power systems have largely been based on droop-control methods that draw inspiration from the quasi-steady-state operation of synchronous generators [1]-[3]. Along similar lines, socalled virtual synchronous machine methods are focused on direct emulation of machine dynamics [4]–[6]. Departing from machine-inspired approaches, virtual oscillator control (VOC) is a control strategy where inverters are programmed to emulate the dynamics of weakly nonlinear limit-cycle oscillators such as dead-zone and Van der Pol oscillators [7]-[9]. These oscillators can generate periodic, self-sustained, and stable oscillations, and when leveraged as controllers for islanded inverters, they offer communication-free synchronization and power sharing [10], as well as voltage and frequency regulation [11]. Analysis also shows that VOC subsumes the functionality of conventional droop control in steady state while providing enhanced dynamic speed [12], [13] due to its time-domain implementation. The small-signal stability of a mixed machine-VOC inverter system has also been investigated in [14]. [15] applies the VOC in commercial currentcontrolled inverters with dual voltage and current loops.

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Those previous controllers exhibited insurmountable tradeoffs between harmonics (mainly 3<sup>rd</sup> order) and transient performance (i.e., a Van der Pol oscillator that is tuned to offer lower harmonic content can only do so at the expense of a sluggish response [11], [16]), which to some extent limits their adoption in the grid-connected application. Furthermore, existing VOC controllers are not well suited for three-phase system due to the existence of only one input for feedback [9], [17]. This implies that such controllers might be difficult to apply in unbalanced three-phase settings. Lastly, the dead-zone and Van der Pol oscillators themselves do not offer seamless control of real and reactive power, and hence, require additional loops if the ac-side power must be modulated to track references [9], [18], [19]. Along these lines, it is worth pointing out the dispatchable VOC methods, which are also called dVOC, that were recently reported in [20]–[22]. Interestingly, this type of controller is synthesized in a top-down system-level design procedure and ends up taking a similar form to the controller studied here. One key difference is that our design objectives are based on local inverter-level objectives which yield a simple design procedure.

To address the issues of previously proposed VOC strategies that are highlighted above, we introduce a grid-compatible oscillator for inverter control that emulates the dynamics of so-called Andronov-Hopf systems [23]. These dynamics are symmetric and planar, and they intrinsically embed orthogonal signals which are applicable to three-phase implementations. Remarkably, this oscillator type presents a perfectly circular limit cycle in steady-state with superior voltage and current quality. Furthermore, we can pre-specify the real-power, reactive-power, voltage and frequency set-points that makes it highly versatile for operation in both grid-connected and islanded settings. In this paper, we explicate the operating principles of the proposed controller and a systematic design procedure which ensures a wide range of user-defined performance criteria can be met at the inverter level.

The remainder of this paper is organized as follows: In Section II, we establish notation and the nonlinear oscillator dynamics. An implementation for three-phase inverters is outlined in Section III, and Section IV provides a control design procedure. Section V gives numerical simulations to illustrate dynamic performance. Finally, conclusions and pertinent directions for future work are in Section VI.

#### II. DYNAMICAL MODEL OF OSCILLATOR

In this section, we briefly outline mathematical notation and describe the dynamical oscillator model that underlies the proposed controller.

#### A. Notation

We consider balanced three-phase operation, where voltages and currents,  $\{u_{\rm a},u_{\rm b},u_{\rm c}\}$  can be modeled equivalently in the  $\alpha\beta$  domain as signals  $\{u_{\alpha},u_{\beta}\}$  if zero-sequence components are disregarded. Clarke's transformation [24] is used to obtain the  $\alpha\beta$  components. By way of notation,  $u_{\alpha\beta}:=[u_{\alpha},u_{\beta}]^{\top}\in\mathbb{R}^2$ , where  $(\cdot)^{\top}$  denotes the matrix transpose. Given  $\theta\in[0,2\pi]$ , we define the rotation matrix

$$R(\theta) := \begin{bmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{bmatrix}.$$

The Euclidean norm of vector,  $x \in \mathbb{R}^N$  is denoted by ||x||.

# B. Nonlinear Oscillator

We introduce the nonlinear oscillator that underlies the proposed controller by first discussing the dynamical model of a harmonic oscillator. The general planar differential-equation model for the harmonic oscillator is given by

$$\begin{bmatrix} \dot{x}_1 \\ \dot{x}_2 \end{bmatrix} = \begin{bmatrix} 0 & -\omega_{\text{nom}} \\ \omega_{\text{nom}} & 0 \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \end{bmatrix}, \tag{1}$$

where  $x_1$  and  $x_2$  are the states, and  $\omega_{nom}$  denotes the resonant frequency at which the oscillator exhibits unforced sinusoidal oscillations. As a means to regulate the amplitude of oscillations (which are entirely initial-conditions dependent for the harmonic oscillator), we consider the following nonlinear extension to the model introduced above:

$$\begin{bmatrix} \dot{x}_1 \\ \dot{x}_2 \end{bmatrix} = \begin{bmatrix} \xi(2X_{\text{nom}}^2 - \|x\|^2) & -\omega_{\text{nom}} \\ \omega_{\text{nom}} & \xi(2X_{\text{nom}}^2 - \|x\|^2) \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \end{bmatrix}. \quad (2)$$

The above dynamical model yields oscillations with RMS amplitude  $X_{\rm nom}$ , and  $\xi$  is a constant that dictates the convergence speed to steady state (in subsequent developments, we refer to it as the speed constant). Figure 1 sketches trajectories yielded by the above model: the state trajectories always spiral asymptotically towards a stable circular limit cycle with a

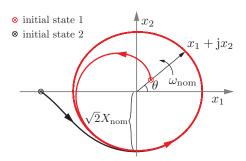


Figure 1: When unforced, the proposed oscillator has a circular limit cycle with radius  $\sqrt{2}X_{\mathrm{nom}}$  and constant rotational frequency  $\omega_{\mathrm{nom}}$ . Any initial condition, aside from the origin, converges to this circular trajectory.

fixed radius  $\sqrt{2}X_{\rm nom}$  and constant rotation frequency  $\omega_{\rm nom}$  regardless of initial conditions. We now describe how the proposed controller derives from this nonlinear oscillator.

# III. INVERTER CONTROLLER DEVELOPMENT AND DYNAMICAL PROPERTIES

In this section, we introduce the proposed controller for three-phase inverters. The controller leverages the nonlinear model introduced in (2), and permits voltage and frequency regulation while affording responses to active- and reactivepower setpoint changes.

# A. Inverter Controller and Implementation

An illustration of the proposed controller and the manner in which it interfaces with the three-phase inverter is shown in Fig. 2. All elements included in the box marked "Microcontroller" are digitally realized. The physical inverter includes the dc source, a three-phase hex-bridge, and an output LCL filter consisting of inverter-side inductors  $L_{\rm f}$ , filter capacitors  $C_{\rm f}$  and grid-side inductors  $L_{\rm g}$ . The controller is composed of two parts: i) A resonant LC tank, with its natural resonant frequency denoted by  $\omega_{\rm nom}:=1/\sqrt{LC}$ . The circuit states are the capacitor voltage and scaled inductor current:

$$x = [x_1, x_2]^{\top} = [v_{\mathcal{C}}, \varepsilon i_{\mathcal{L}}]^{\top}, \tag{3}$$

where  $\varepsilon := \sqrt{L/C}$ . ii) Nonlinear state-dependent voltage and current sources  $v_{\rm m}$  and  $i_{\rm m}$  given by

$$v_{\rm m} \coloneqq \frac{\xi}{\omega_{\rm nom}} \left( 2X_{\rm nom}^2 - \|x\|^2 \right) x_2,$$

$$i_{\rm m} \coloneqq \frac{\xi}{\varepsilon \omega_{\rm nom}} \left( 2X_{\rm nom}^2 - \|x\|^2 \right) x_1.$$
(4)

The above expressions are derived from the nonlinear oscillator model introduced in (2). Basically,  $v_{\rm m}$  and  $i_{\rm m}$  collectively absorb energy from or provide energy to the circuit such that  $\|x\| \to \sqrt{2} X_{\rm nom}$  asymptotically, and a circular trajectory with resonant frequency  $\omega_{\rm nom}$  is maintained.

The oscillator is interfaced to the physical converter system through voltage and current scalings  $\kappa_{\rm v}$  and  $\kappa_{\rm i}$ , respectively. We scale the orthogonal oscillator states,  $v_{\rm C}$  and  $\varepsilon i_{\rm L}$ , by  $\kappa_{\rm v}$  to generate the voltage commands,  $v_{\alpha\beta}$ , in the  $\alpha\beta$  frame:

$$v_{\alpha\beta} := \kappa_{\mathbf{v}} [v_{\mathbf{C}}, \varepsilon i_{\mathbf{L}}]^{\top}. \tag{5}$$

The inverter terminal voltage  $v_{\rm abc}$  is hence established through power stage and PWM. Furthermore, the inverter output currents, denoted by  $i_{\rm abc}$ , are measured and transformed to  $i_{\alpha\beta}$ , and then scaled by  $\kappa_{\rm i}$  to act as the input signals,  $u_1$  and  $u_2$ , which are derived from the difference between measured line currents  $i_{\alpha\beta}$  and current setpoints  $i_{\alpha\beta}^*$ , as follows:

$$u := \begin{bmatrix} u_1 \\ u_2 \end{bmatrix} = \kappa_i R(\varphi) (i_{\alpha\beta} - i_{\alpha\beta}^*). \tag{6}$$

Above,  $\varphi$  is a user-defined rotation angle. In subsequent developments pertaining to voltage and frequency regulation, we will illustrate how  $\varphi$  is a key parameter that determines the relationship between voltage amplitude and frequency versus

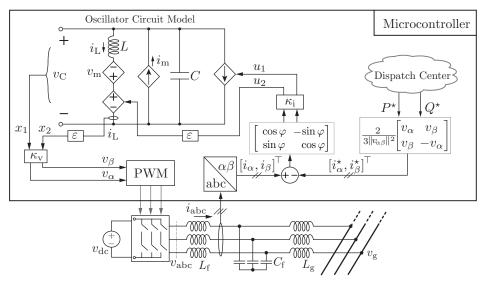


Figure 2: A three-phase inverter with the proposed nonlinear oscillator-based controller.

real and reactive power. Finally, the proposed controller can respond to real- and reactive-power setpoints,  $P^*$  and  $Q^*$  (issued potentially from an external dispatch center). These are converted into current commands in the  $\alpha\beta$  frame as follows:

$$\begin{bmatrix} i_{\alpha}^{\star} \\ i_{\beta}^{\star} \end{bmatrix} = \frac{2}{3\|v_{\alpha\beta}\|^2} \begin{bmatrix} v_{\alpha} & v_{\beta} \\ v_{\beta} & -v_{\alpha} \end{bmatrix} \begin{bmatrix} P^{\star} \\ Q^{\star} \end{bmatrix}. \tag{7}$$

## B. Voltage and Frequency Dynamics

We now proceed to discuss the inverter voltage- and frequency-regulation characteristics. To do so, we first begin with the oscillator circuit-states dynamics, which in this case are those corresponding to the capacitor voltage  $v_{\rm C}$  and inductor current  $i_{\rm L}$ . From the circuit representation in Fig. 2, we see that these dynamics are given by:

$$C\frac{\mathrm{d}v_{\mathrm{C}}}{\mathrm{d}t} = -i_{\mathrm{L}} + \frac{\xi}{\varepsilon\omega_{\mathrm{nom}}} (2X_{\mathrm{nom}}^2 - \|x\|^2)v_{\mathrm{C}} - u_{1},$$

$$L\frac{\mathrm{d}i_{\mathrm{L}}}{\mathrm{d}t} = v_{\mathrm{C}} + \frac{\xi}{\omega_{\mathrm{nom}}} (2X_{\mathrm{nom}}^2 - \|x\|^2)\varepsilon i_{\mathrm{L}} - \varepsilon u_{2}.$$
(8)

Then, the following dynamics are obtained for  $v_{\alpha\beta}$   $\kappa_{\rm v}[v_{\rm C}, \varepsilon i_{\rm L}]^{\rm T}$  by appropriately substituting (6) into (8)

$$\begin{bmatrix}
\dot{v}_{\alpha} \\
\dot{v}_{\beta}
\end{bmatrix} = \begin{bmatrix}
\frac{\xi}{\kappa_{v}^{2}} \left(2V_{\text{nom}}^{2} - \|v_{\alpha\beta}\|^{2}\right) & -\omega_{\text{nom}} \\
\omega_{\text{nom}} & \frac{\xi}{\kappa_{v}^{2}} \left(2V_{\text{nom}}^{2} - \|v_{\alpha\beta}\|^{2}\right)
\end{bmatrix} \begin{bmatrix} v_{\alpha} \\ v_{\beta} \end{bmatrix} \\
-\frac{\kappa_{v}\kappa_{i}}{C} \begin{bmatrix}\cos\varphi & -\sin\varphi \\ \sin\varphi & \cos\varphi\end{bmatrix} \begin{bmatrix} i_{\alpha} - i_{\alpha}^{\star} \\ i_{\beta} - i_{\beta}^{\star} \end{bmatrix}, \tag{9}$$

where  $V_{\mathrm{nom}} := \kappa_{\mathrm{v}} X_{\mathrm{nom}}$  is nominal inverter voltage RMS amplitude. For grid-connected mode,  $V_{\mathrm{nom}}$  can be set to either grid nominal voltage  $V_{\mathrm{g,nom}}$  or the measured grid voltage amplitude. The expressions for the voltage RMS amplitude V and phase angle  $\theta$  are given by

$$V = \frac{1}{\sqrt{2}} \left( v_{\alpha}^2 + v_{\beta}^2 \right)^{\frac{1}{2}}, \quad \theta = \arctan\left( \frac{v_{\beta}}{v_{\alpha}} \right).$$
 (10)

From these elementary definitions and (9), the following dynamical model for amplitude V and phase angle  $\theta$  is obtained

$$\dot{V} = \frac{v_{\alpha}\dot{v}_{\alpha} + v_{\beta}\dot{v}_{\beta}}{2V} = \frac{\xi}{\kappa_{v}^{2}}V\left(2V_{\text{nom}}^{2} - 2V^{2}\right) - \frac{\kappa_{v}\kappa_{i}}{3CV}\left(\sin\varphi(Q - Q^{*}) + \cos\varphi(P - P^{*})\right),$$
(11)

$$\dot{\theta} = \frac{v_{\alpha}\dot{v}_{\beta} - v_{\beta}\dot{v}_{\alpha}}{2V^{2}} = \omega_{\text{nom}} - \frac{\kappa_{\text{v}}\kappa_{\text{i}}}{3CV^{2}} \left(\sin\varphi(P - P^{*}) - \cos\varphi(Q - Q^{*})\right).$$
(12)

It can be observed that the dynamics of both voltage amplitude V and phase angle  $\theta$  vary with the difference between the actual real- (reactive-) power and reference real- (reactive-) power, and also with different rotation angle  $\varphi$ .

# C. Steady-state Voltage and Frequency Regulation

With appropriate decoupling assumptions, various dynamical model for amplitude V and frequency  $\omega$  can be recovered from (11) and (12): with  $\varphi=0$ , the proposed controller trades off V versus P and  $\omega$  versus Q, with  $\varphi=\pi/2$ , V is traded off for Q and  $\omega$  is traded off for P. For subsequent developments, we select  $\varphi=\pi/2$ , which is applicable to inductive networks. Then, (11) and (12) turn to:

$$\dot{V} = \frac{\xi}{\kappa_{\rm v}^2} V \left( 2V_{\rm nom}^2 - 2V^2 \right) - \frac{\kappa_{\rm v} \kappa_{\rm i}}{3CV} (Q - Q^*), 
\dot{\theta} = \omega_{\rm nom} - \frac{\kappa_{\rm v} \kappa_{\rm i}}{3CV^2} (P - P^*).$$
(13)

Setting the derivatives  $\dot{V}=0$  and  $\dot{\theta}=\omega$  yields the steady-state voltage amplitude, V, and frequency,  $\omega$ , relations as follows:

$$V = \frac{V_{\text{nom}}}{\sqrt{2}} \left( 1 + \sqrt{1 - \frac{2\kappa_{i}\kappa_{v}^{3}}{3C\xi V_{\text{nom}}^{4}} \left( Q - Q^{\star} \right)} \right)^{\frac{1}{2}},$$

$$\omega = \omega_{\text{nom}} - \frac{\kappa_{v}\kappa_{i}}{3CV^{2}} (P - P^{\star}).$$
(14)

While the trade-off is linear for  $P-\omega$ , it is nonlinear for Q-V. Nonetheless, we will show numerically that the Q-V curve is close to linear. We also know from (14) that in grid-connected mode the inverter locks on the grid frequency,  $\omega \to \omega_{\rm nom}$ , and real power P will track  $P^*$ . In islanded mode, deviations from nominal conditions can be compensated with the power setpoints.

# D. Transient Dynamics

Given the dynamical models in place for the voltage magnitude and frequency, a variety of transient performance specifications could be readily investigated. We focus on: i) the voltage rise time,  $t_{\rm rise}$ , and ii) the time to transition between two real-power setpoints. In particular, we outline a design strategy for the controller parameters that yield specified values of the above transient performance specifications. The maximum allowable voltage rise time and power-transition time constant are denoted by  $t_{\rm rise}^{\rm max}$  and  $\tau^{\rm max}$ , respectively.

1) Voltage Rise Time: This time period describes how fast an unloaded inverter establishes its terminal voltage. By setting  $Q=Q^{\star}$  and multiplying both sides of (13) by V, we have

$$V\dot{V} = \frac{\xi}{\kappa_{\rm w}^2} V^2 \left( 2V_{\rm nom}^2 - 2V^2 \right).$$
 (15)

Note that since the above is an ordinary differential equation, we can get the voltage rise time  $t_{\rm rise}$  by integrating both sides from  $0.1V_{\rm nom}$  to  $0.9V_{\rm nom}$  (we pick these limits without loss of generality). Defining  $M=V^2$  and  $M_{\rm nom}=V_{\rm nom}^2$ , we have

$$\dot{M} = \frac{4\xi}{\kappa_{\rm v}^2} M \left( M_{\rm nom} - M \right), \tag{16}$$

from which we can express:

$$dt = \frac{\kappa_{\rm v}^2}{4\xi} \frac{1}{M(M_{\rm nom} - M)} dM. \tag{17}$$

Integrating both sides,

$$t_{\text{rise}} = \frac{\kappa_{\text{v}}^{2}}{4\xi} \int_{0.01M_{\text{nom}}}^{0.81M_{\text{nom}}} \frac{1}{M(M_{\text{nom}} - M)} dM$$
$$= \frac{3\kappa_{\text{v}}^{2}}{2\xi M_{\text{nom}}} = \frac{3\kappa_{\text{v}}^{2}}{2\xi V_{\text{nom}}^{2}}.$$
 (18)

Substituting  $V_{\mathrm{nom}} = \kappa_{\mathrm{v}} X_{\mathrm{nom}}$  yields

$$t_{\rm rise} = \frac{3}{2X_{\rm nom}^2 \xi}.$$
 (19)

This indicates that the rise time  $t_{\rm rise}$  is inversely proportional to oscillation amplitude  $X_{\rm nom}^2$  and speed constant  $\xi$ . It means that we can tune the parameter  $\xi$  to set the voltage rise time.

2) Power-transition Time Constant  $\tau$ : Next, we demonstrate that real power dynamics are approximately first-order, and the time constant  $\tau$  of the response can be adjusted by tuning pertinent system and oscillator parameters. In an inductive network, three-phase real power P is

$$P = 3\frac{VV_{\rm g}}{X}\sin(\theta - \theta_{\rm g}) \approx 3\frac{VV_{\rm g}}{X}(\theta - \theta_{\rm g}), \qquad (20)$$

where  $V_{\rm g}$  is the grid RMS voltage,  $\theta_{\rm g}$  is the grid phase angle, and  $X=\omega_{\rm nom}(L_{\rm f}+L_{\rm g})$  is the filter and line impedance (see Fig. 2). The filter capacitance  $C_{\rm f}$  is neglected because it only addresses switching frequency components. Due to the fact  $\Delta\theta=\theta-\theta_{\rm g}\approx 0$ , we assume  $\sin\Delta\theta\approx\Delta\theta$ . Using (13),  $\Delta\dot{\theta}$  can be expressed as given below when  $\dot{\theta}_{\rm g}\approx\omega_{\rm nom}$ :

$$\Delta \dot{\theta} = -\frac{\kappa_{\rm v} \kappa_{\rm i}}{3CV^2} (P - P^*). \tag{21}$$

From (20) and (21), we obtain

$$\dot{P} = -\frac{\kappa_{\rm v}\kappa_{\rm i}}{CX}(P - P^*). \tag{22}$$

In the Laplace domain, we get:

$$P = \frac{1}{\tau s + 1} P^*, \quad \tau = \frac{CX}{\kappa_{\rm v} \kappa_{\rm i}}.$$
 (23)

Evidently, P tracks  $P^*$  via first-order dynamics with time constant  $\tau$ . We can tune C to obtain desirable power dynamics.

#### IV. OSCILLATOR DESIGN PROCEDURE

In this section, we outline a design procedure to select the oscillator parameters such that the inverter satisfies a set of user-defined performance specifications.

# A. Design Objectives

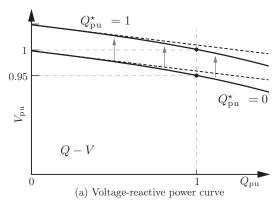
The performance specifications that we expect the inverter to conform to are summarized in Table I. These include: 1) Nominal RMS line-neutral output voltage  $V_{\rm nom}$  and minimum permissible voltage,  $V_{\rm min,pu}$ ; 2) Rated apparent power  $S_{\rm rated}$ , real power  $P_{\rm rated}$ , and reactive power  $Q_{\rm rated}$ ; 3) Nominal frequency  $\omega_{\rm nom}$  and frequency regulation  $|\Delta\omega|_{\rm max}$ ; 4) Maximum rise time  $t_{\rm rise}^{\rm max}$  and power-tracking time constant  $\tau^{\rm max}$ . The oscillator parameters to be designed are listed in Table II. They include: nominal oscillation amplitude  $X_{\rm nom}$ ,

TABLE I
THREE-PHASE INVERTER PERFORMANCE SPECIFICATIONS.

Symbol	Description	Value	Units
$S_{\text{rated}}$	Rated apparent power	1200	W
$P_{\rm rated}$	Rated real power	850	W
$Q_{\mathrm{rated}}$	Rated reactive power	850	VAR
$V_{ m nom}$	Nominal output voltage	80	V RMS
$V_{\rm min,pu}$	Per-unit minimum voltage	0.95	_
$\omega_{\mathrm{nom}}$	Nominal frequency	$2\pi60$	rad/s
$ \Delta\omega _{\rm max}$	Maximum frequency offset	$2\pi 0.5$	rad/s
$t_{\rm rico}^{\rm max}$	Maximum voltage rise time	120	ms
$ au^{ ext{max}}$	Power-transition time constant	40	ms

TABLE II Nonlinear Oscillator Parameters.

Symbol	Description	Value	Units
$X_{\text{nom}}$	Nominal oscillation amplitude	1	V
$\kappa_{ m v}$	Voltage-scaling factor	80	V/V
$\kappa_{ m i}$	Current-scaling factor	0.20	A/A
ξ	Speed constant	15	$1/\mathrm{sV}^2$
$\overset{\circ}{C}$	Virtual capacitance	0.2679	F
L	Virtual inductance	26.268	$\mu H$



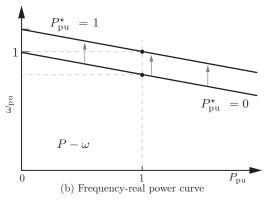


Figure 3: Steady-state per-unit inverter voltage- and frequency-regulation.

scaling factors  $\kappa_{\rm v}$  and  $\kappa_{\rm i}$ , speed constant  $\xi$ , and oscillator inductance and capacitance L and C, respectively.

To facilitate system design, we seek an oscillator which yields unity normalized RMS amplitudes of its states  $[x_1,x_2]^{\top}$  and inputs  $[u_1,u_2]^{\top}$  (i.e.,  $X_{\rm nom}=1\,{\rm V}$  and  $\|u\|/\sqrt{2}=1\,{\rm A}$ ) when the inverter is fully loaded P=Q=1 ( $\|i_{\alpha\beta}\|/\sqrt{2}=S_{\rm rated}/3V_{\rm nom}$ ), with setpoints  $P^{\star}=Q^{\star}=0$  ( $i_{\alpha\beta}^{\star}=0$ ). Under such conditions, it follows that the voltage and current scaling factors must be chosen as

$$\kappa_{\rm v} \coloneqq V_{\rm nom}, \quad \kappa_{\rm i} \coloneqq 3 \frac{V_{\rm nom}}{S_{\rm rated}}.$$
(24)

# B. The Per-unit Model

Next, we transfer the amplitude and frequency dynamics in (13) to a per-unit model, in which all signals are expressed as fractions of their defined base values. This simplifies the design process since per-unit values do not vary with inverter ratings. Consider the following per-unit quantities:

$$V_{\rm pu} = \frac{V}{V_{\rm nom}}, \quad \omega_{\rm pu} = \frac{\omega}{\omega_{\rm nom}},$$

$$P_{\rm pu} = \frac{P}{P_{\rm rated}}, \quad Q_{\rm pu} = \frac{Q}{Q_{\rm rated}}.$$
(25)

Substitution of (24) and (25) into (13) yields the following per-unit dynamical-system model

$$\dot{V}_{\rm pu} = 2\xi V_{\rm pu} (1 - V_{\rm pu}^2) - \frac{1}{\sqrt{2}CV_{\rm pu}} (Q_{\rm pu} - Q_{\rm pu}^*),$$
 (26)

$$\omega_{\rm pu} = 1 - \frac{1}{\sqrt{2}C\omega_{\rm nom}V_{\rm pu}^2}(P_{\rm pu} - P_{\rm pu}^*).$$
(27)

Note that  $S_{\rm rated} = \sqrt{2} P_{\rm rated} = \sqrt{2} Q_{\rm rated}$ . Solving  $\dot{V}_{\rm pu} = 0$  gives the following steady-state per-unit  $V_{\rm pu}$  expression (analogous to (14)) as

$$V_{\rm pu} = \sqrt{\frac{1 + \sqrt{1 - \frac{\sqrt{2}}{C\xi}(Q_{\rm pu} - Q_{\rm pu}^{\star})}}{2}}.$$
 (28)

As shown above, the steady-state Q-V relationship depends on  $\xi$  and the capacitance C whereas the  $P-\omega$  relationship only depends on C. This is true because the amplitude  $V_{\rm pu}$  is close

to unity and has only a second-order influence on the phase dynamics. Figures 3(a) and (b) show the resulting Q-V and  $P-\omega$  curves for (27) and (28). In these figures, we observe that the power setpoints  $P_{\rm pu}^{\star}$  and  $Q_{\rm pu}^{\star}$  only make the curves move up and down, but have no impact on the droop slopes. Hence, in the subsequent design, we fix the  $P_{\rm pu}^{\star}=Q_{\rm pu}^{\star}=0$ .

# C. Design of $\xi$ and Capacitance C

The maximum steady-state voltage and frequency deviations occur when the expressions in (28) are evaluated at  $P_{\rm rated}$  ( $P_{\rm pu}=1$ ) and  $Q_{\rm rated}$  ( $Q_{\rm pu}=1$ ). Given a user-defined minimum terminal voltage  $V_{\rm min,pu}$  (which occurs at  $Q_{\rm pu}=1$ ) and maximum allowable frequency deviation  $|\Delta\omega|_{\rm max}$  (which occurs at  $P_{\rm pu}=1$ ), we have

$$V_{\text{min, pu}} = \sqrt{\frac{1 + \sqrt{1 - \frac{\sqrt{2}}{C\xi}}}{2}},$$
 (29)

$$\Delta\omega = \frac{1}{\sqrt{2}CV_{\min, pu}^2} \le |\Delta\omega|_{\max}.$$
 (30)

Then, we get the following constraint for the product  $C\xi$ , and the following lower bound for C:

$$C\xi = \frac{\sqrt{2}}{4V_{\min, \text{pu}}^2} \frac{1}{1 - V_{\min, \text{pu}}^2},\tag{31}$$

$$C \ge \frac{1}{\sqrt{2}V_{\min, \text{pu}}^2} \frac{1}{|\Delta\omega|_{\text{max}}} =: C_{\text{min}}.$$
 (32)

In order to meet the transient response specifications, we also obtain the following constraints for  $\xi$  and C:

$$t_{\rm rise} = \frac{3}{2\xi} \le t_{\rm rise}^{\rm max}, \quad \xi \ge \frac{3}{2t_{\rm rise}^{\rm max}} =: \xi_{\rm min},$$
 (33)

$$\tau = \frac{XC}{\kappa_{\rm v}\kappa_{\rm i}} \le \tau^{\rm max}, \quad C \le \tau^{\rm max} \frac{3V_{\rm nom}^2}{XS_{\rm rated}} =: C_{\rm max}.$$
 (34)

# D. A Complete Design Procedure

From the developments above,  $X_{\rm nom}$ ,  $\kappa_{\rm v}$ , and  $\kappa_{\rm i}$  can be computed unambiguously as (24). The feasible set of  $\xi$  and C values which satisfy all performance specifications are given by the constraints in (31)–(34) (see also Fig. 4). Once

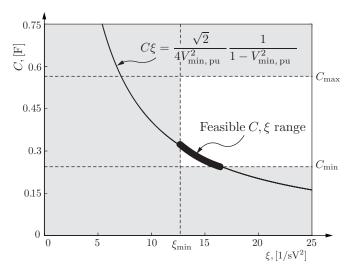


Figure 4: Values of  $\xi$  and C that satisfy performance specifications.

a value of capacitance C is chosen, the virtual inductance, L, is a dependent design variable since  $\omega_{\mathrm{nom}} = 1/\sqrt{LC}$ , and the nominal system frequency is specified. According to the constraints in (32) and (33), oscillator parameters  $\xi$  and capacitance C can be selected as shown in Fig. 4. In this design, both filter inductance are chosen as  $1.5\,\mathrm{mH}$ ,  $X=1.131\,\Omega$ . The overall choice of oscillator parameters is listed in Table II.

#### V. SIMULATION RESULTS

We now illustrate the performance of the proposed inverter controller through detailed simulation results for grid-connected and islanded modes of operation.

#### A. Power Tracking

When connected to a stiff grid, the oscillator-controlled inverter is able to track the power setpoints  $P^*$ ,  $Q^*$ . During grid-connected mode in Fig. 5, we show the case where  $Q^*$  is fixed at zero and the real power setpoints evolve as  $P^*$ :  $0 \text{ W} \rightarrow 500 \text{ W} \rightarrow 1000 \text{ W} \rightarrow 500 \text{ W}$ . Observe that the actual real power P closely tracks the power setpoints. Once

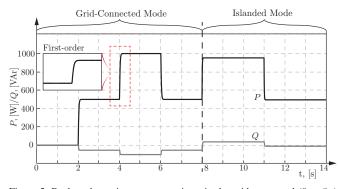


Figure 5: Real- and reactive-power transients in the grid-connected (0 to 8 s) and islanded modes (8 - 14 s), step changes of  $P^{\star}$  happen at 2, 4, 6 s, load step change at 11 s.

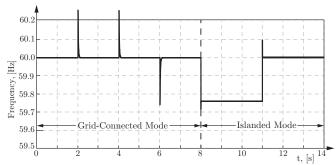


Figure 6: Inverter voltage frequency transients between grid-connected and islanded modes of operation.

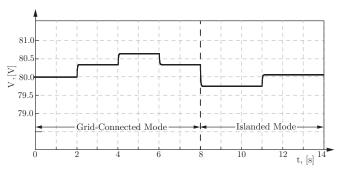


Figure 7: Corresponding inverter voltage amplitude RMS value transients.

the system is islanded at  $t=8\,\mathrm{s}$ , the setpoints are fixed at  $P^\star=500\,\mathrm{W}$  and the inverter supplies a resistive load ( $R_\mathrm{L}=20\,\Omega,\ P_\mathrm{Load}=960\,\mathrm{W}$ ). A load step is initiated at  $t=11\,\mathrm{s}$  where  $P_\mathrm{Load}$  decreases from  $960\,\mathrm{W}$  to  $480\,\mathrm{W}$ .

# B. Voltage and Frequency Regulation

Figures 6 and 7 show the inverter frequency  $\omega$  and amplitude RMS value V, respectively. During grid-connected mode, we assume the grid has a stiff voltage amplitude and frequency at nominal values. In such a setting, the oscillator locks onto the grid frequency and  $\omega \to \omega_{\rm nom}$  in steady-state. Under islanded conditions, the frequency decreases to 59.77 Hz in accordance with (26) and (27). At the load step down event at  $t=11\,\rm s$ , we can see that the inverter frequency tracks back to grid frequency, 60 Hz, because  $P_{\rm Load} \approx P^{\star}$  at this point.

# C. Transient Performance

To substantiate the transient dynamic performance of real power P and voltage V, Fig. 8 shows the voltage and current waveforms. It can be observed that the current dynamics are first-order and has the same time constant as the power dynamics in Fig. 5. Figure 9 shows the voltage rise time from  $0.1V_{\rm nom}$  to  $0.9V_{\rm nom}$ . We observed that it took around  $105~\rm ms$  for inverter to establish the terminal voltage, which meets the design transient specification  $t_{\rm rise}^{\rm max}$ .

# VI. CONCLUSIONS & FUTURE WORK

In this paper, we analyzed and designed a dispatchable oscillator inverter controller. Compared to existing VOC implementations, it eliminates low-frequency harmonics, can

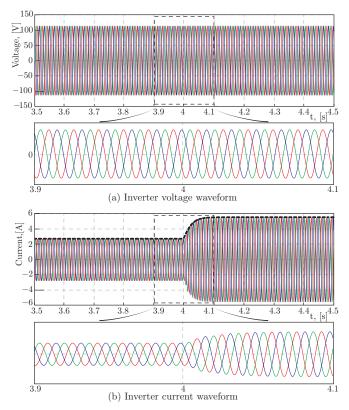


Figure 8: Inverter output three-phase voltage and current waveforms during  $[3.5 \, \mathrm{s}, \, 4.5 \, \mathrm{s}]$ , real power reference  $P^{\star}$  step changes at  $t = 4 \, \mathrm{s}$ , (a) voltage waveform, (b) current waveform.

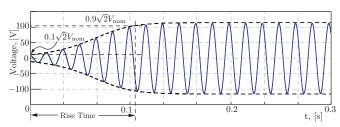


Figure 9: Voltage rise time  $t_{\rm rise}$ , voltage increases from  $0.1V_{\rm nom}$  to  $0.9V_{\rm nom}$ .

be designed faster, and operate in both islanded and gridconnected settings. Future work includes experimental validation and investigation of the proposed controller in complex networks.

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